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NOTICE OF ALLOWANCE AND FEE(S) DUE

PEARNE & GORDON LLP 1801 EAST 9TH STREET SUITE 1200 CLEVELAND, OH 44114-3108 EXAMINER

KHATRI, PRASHANT J

ART UNIT

PAPER NUMBER

1783

DATE MAILED: 02/13/2012

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/597,951	08/14/2006	Wataru Ikeda	KIK-41079	8315

TITLE OF INVENTION: HYDRAULIC TRANSFER PRODUCT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1740	\$300	\$0	\$2040	05/14/2012

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where m

appropriate. All further indicated unless correct maintenance fee notification.	ed below or directed of	ng the Patent, advance o herwise in Block 1, by (rders and notification a) specifying a new co	of m orres _l	naintenance fees w pondence address;	ill be and/or	mailed to the current (b) indicating a sepa	corresp ırate "Fl	ondence address as EE ADDRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) 116 7590 02/13/2012 PEARNE & GORDON LLP				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. Certificate of Mailing or Transmission					
1801 EAST 9TH STREET SUITE 1200 CLEVELAND, OH 44114-3108				I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.					
CDD / DDI II (D)									(Depositor's name)
									(Signature)
									(Date)
APPLICATION NO.	FILING DATE	: T	FIRST NAMED INVEN	ГOR		ATTO	RNEY DOCKET NO.	CONF	FIRMATION NO.
10/597,951	08/14/2006	I	Wataru Ikeda			KIK-41079			8315
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nonprovisional	NO	\$1740	\$300		\$0		\$2040		05/14/2012
EXAN	MINER	ART UNIT	CLASS-SUBCLASS						
KHATRI, P	RASHANT J	1783	428-195100		•				
☐ "Fee Address" inc	oondence address (or Cha B/122) attached. lication (or "Fee Address 02 or more recent) attach	ange of Correspondence	2. For printing on t (1) the names of u or agents OR, alter (2) the name of a s registered attorney 2 registered patent listed, no name wil	p to nativ ingle or a attor	3 registered patentiely, e firm (having as a gent) and the namineys or agents. If	t attorn membes of u	er a 2 p to		
PLEASE NOTE: Un recordation as set for (A) NAME OF ASSI	less an assignee is iden th in 37 CFR 3.11. Com GNEE	A TO BE PRINTED ON ' tified below, no assignee pletion of this form is NO r categories (will not be p	data will appear on the Ta substitute for filing (B) RESIDENCE: (C	ne pa g an a	and STATE OR C	OUNT	RY)		_
Please check the appropri	riate assignee category of	r categories (will not be pi	rinted on the patent):	_	Individual 🖵 Co	orporau	on or other private gro	oup entit	y Government
4a. The following fee(s)	are submitted:	41	b. Payment of Fee(s): (se first reapply ar	ıy prev	iously paid issue fee	shown a	above)
Issue Fee	NT		A check is enclosed.						
☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies			 ☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). 						
5. Change in Entity Sta	,	*							
	ns SMALL ENTITY stat						FITY status. See 37 C		
NOTE: The Issue Fee an interest as shown by the	nd Publication Fee (if req records of the United Sta	uired) will not be accepte ates Patent and Trademark	d from anyone other the Office.	an th	ne applicant; a regi	stered :	attorney or agent; or th	e assign	nee or other party in
Authorized Signature					Date				
Typed or printed name					Registration N	Го			
an application. Confiden submitting the complete this form and/or suggest	itiality is governed by 35 d application form to the ions for reducing this bu Virginia 22313-1450. DC	CFR 1.311. The information of U.S.C. 122 and 37 CFR EUSPTO. Time will vary truch, should be sent to the ONOT SEND FEES OR (1.14. This collection is depending upon the i e Chief Information O	s esti ndivi ffice	imated to take 12 r idual case. Any co r, U.S. Patent and	ninutes mment Traden	to complete, including to the amount of time are the samount of times. U.S. Depart	ig gathei me you i artment	ring, preparing, and require to complete of Commerce, P.O.

PTOL-85 (Rev. 02/11) Approved for use through 08/31/2013.

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116 75	90 02/13/2012	EXAMINER KHATRI, PRASHANT J			
PEARNE & GOF					
1801 EAST 9TH S SUITE 1200	TREET	ART UNIT PAPER NUMBER			
CLEVELAND, OH 44114-3108			1783		

DATE MAILED: 02/13/2012

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 342 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 342 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Examiner-Initiated Interview Summary	10/597,951	IKEDA ET AL.				
Examiner initiated interview caninary	Examiner	Art Unit				
	PRASHANT J. KHATRI	1783				
All participants (applicant, applicant's representative, PTO	personnel):					
(1) <u>PRASHANT J. KHATRI</u> .	(3)					
2) <u>Joe Corso (Applicant's Representative)</u> . (4)						
Date of Interview: 01 February 2012.						
Type: Telephonic Video Conference Personal [copy given to: applicant [☐ applicant's representative]					
Exhibit shown or demonstration conducted:						
Issues Discussed						
Claim(s) discussed: <u>44</u> .						
Identification of prior art discussed: <u>N/A</u> .						
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement reference or a portion thereof, claim interpretation, proposed amendments, arguments.)	ž ,	dentification or clarific	cation of a			
Discussed proposed Examiner's amendment for claim 44 in	n order to place application in c	condition for Allo	wance.			
Applicant recordation instructions: It is not necessary for applicant to provide a separate record of the substance of interview.						
Examiner recordation instructions : Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.						
☐ Attachment						
	/David R. Sample/ Supervisory Patent Examiner, Art Ur	nit 1783				

Application No.

Applicant(s)